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A Useful Benzannulation Reaction

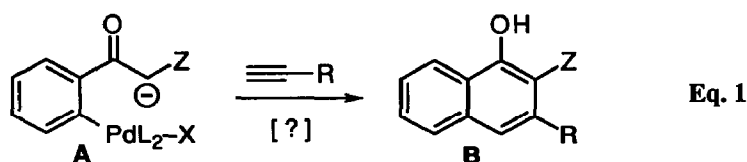
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ABSTRACT: [(2-Alkynyl)-benzoyl]-acetate esters undergo cycloaromatization in good yield upon treatment with CSA in refluxing chloroform.

The preparation of fused polycyclic aromatic structures is often complicated by the inefficiency of the traditional methods that are commonly used to assemble the desired goals. It has become clear, in recent times, that protocols for *de novo* construction of already functionalized benzenoid rings (benzannulations) may resolve several of the foregoing difficulties. Indeed, the past few years have seen the development of a number of useful benzannulation techniques,² particularly elegant among which are those relying on the chemistry of Fischer carbene complexes³ and of cyclobutenediones.⁴

A useful complement to established benzannulation reactions may be envisioned as shown in Eq. 1, wherein a palladated acetophenone derivative **A** condenses with an acetylene to furnish structures **B** (Eq. 1).⁵ This reaction would possess the two-fold advantage of being fully catalytic in transition metal and of requiring readily available building blocks. However, important work by Goré⁶ would lead one to question the possibility of reaching **B** from **A** in a single step, and indeed, despite numerous attempts, this goal remains elusive. Nonetheless a method to achieve a transformation of this general type has been found as described below.



Hydroxyester **1**, prepared in a particularly convenient fashion by ozonolysis of the ene product of 2-iodobenzaldehyde with 2-methoxypropene,⁷ underwent smooth alkylation under Castro-Stephens conditions.^{8,9} Subsequent PCC oxidation provided ketoesters **2**. These compounds, in crude form, slowly cyclize to naphthalenes **3** even upon standing at room temperature for prolonged periods of time, probably (*vide infra*) as a consequence of an interaction with Brønsted acidic contaminants carried over from the oxidation step.

A superficial analogy between the cycloaromatization of **2** and Bergman-type reactions is apparent. At this time, however, we tend to regard the cyclization of **2** not as radical process, but rather an ionic, proton-catalyzed reaction, on the basis of the following observations. Thoroughly purified ketoesters **2** do not cyclize upon thermal or photochemical activation, whereas adsorption on silica gel at room temperature suffices to induce some conversion to **3**. Amine, alkoxide, or hydride bases are ineffective as cyclization catalysts. Lewis acids (e.g., $\text{BF}_3 \cdot \text{OEt}_2$), likewise, are poor cyclization catalysts. By contrast, treatment with strong protonic acids dramatically accelerates the cyclization step, and while HCl gas in benzene (25° C) is satisfactory, best results are

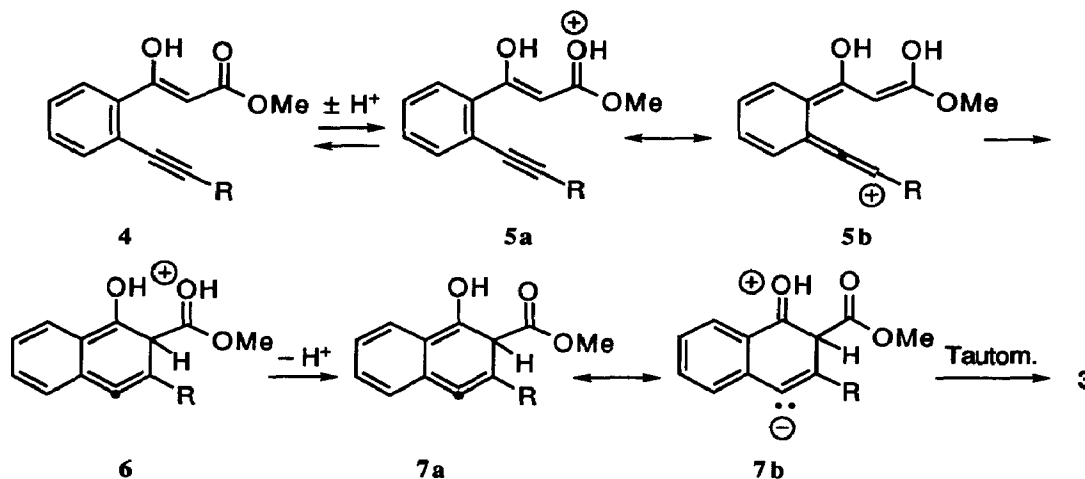
obtained with 1 eq. of camphorsulfonic acid in refluxing chloroform. Under the latter conditions, complete cycloaromatization occurs in 8 – 12 hours. Table I summarizes representative examples of the new reaction.¹⁰

Table I: Representative Benzannulation Reactions.^a

R =	$n\text{-C}_6\text{H}_{13}$	CH_2OMe	Ph	
	a	b	c	d
Yield % of Step				
i	90	65	97	48
ii	93	76	88	70
iii	90	82	89	75

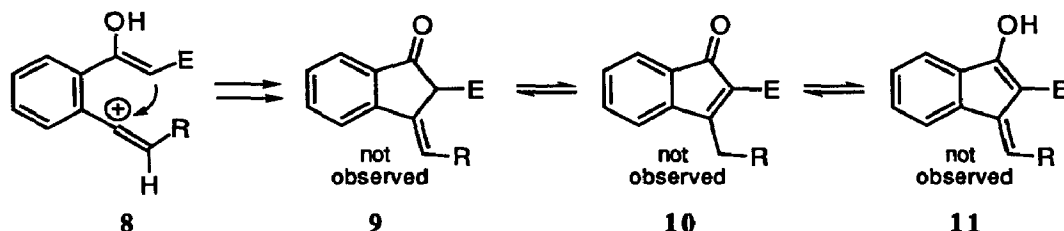
^aReagents and conditions: (i) $\text{R-C}\equiv\text{C-H}$, cat. CuCl , cat. $\text{Pd}(\text{PPh}_3)_4$, $t\text{BuNH}_2$, PhMe , RT; (ii) PCC , CH_2Cl_2 , RT; (iii) CHCl_3 , CSA, reflux.

The mechanism of the new reaction is not clear at this time, but it seems plausible that cyclization may commence with protonation of the ester carbonyl of the enol tautomer 4 of the starting ketoester 2. The carbonyl group should be significantly more basic than the triple bond, and its protonation would activate the molecule toward cyclization through the electrocyclic process shown below.

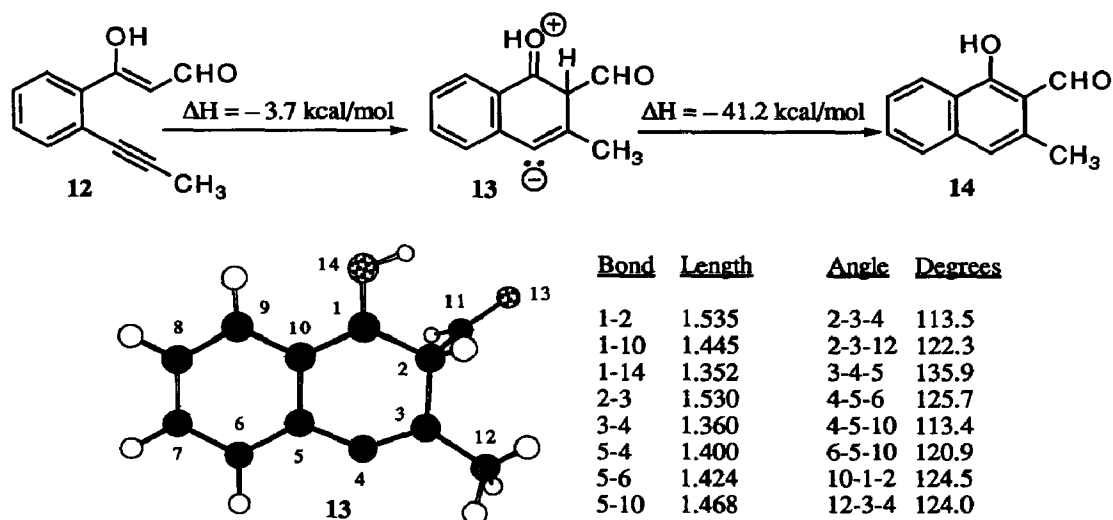


An alternative mechanism involving proton activation of the triple bond seems less likely, as almost certainly this would lead to an isomer of the observed product, *via* a benzylic vinyl cation 8. The structures of hypothetical tautomeric compounds 9, 10, or 11 that would thus emerge are inconsistent with the ¹H NMR spectra of naphthalenes 3.¹⁰ These exhibit highly diagnostic singlets at ca. 12.5 and 7 ppm, assigned to the

phenolic OH and to the aromatic H *para* to the phenolic functionality, respectively, but no resonances between 5 and 7 ppm. None of the H atoms in the three tautomers would appear as a singlet at ca. 7 ppm; 9 and 11 would show the olefinic H as a triplet at about 6 ppm; 9 and 11 would exhibit no resonances at ca. 12.5 ppm.



The proposed mechanism invokes a strained allenic intermediate 7a. However, the enolic group present in 7a allows it to resonate with a less strained dipolar ion 7b, which could readily tautomerize to 3. The cyclization of a simplified molecule of the type 2, aldehyde 12, was briefly examined computationally at the MNDO level (UHF). MNDO revealed that, indeed, the optimized geometry of an intermediate similar to 7, compound 13, best fits structure 7b. The optimized structure of 13, for which we calculate a healthy HOMO-LUMO gap $\Delta E = 9.182$ eV (215 kcal/mol), is shown below, together with key bond lengths and angles. Remarkably, conversion of 12 to 13 is estimated to be mildly exothermic.¹¹



The new transformation should be particularly useful to assemble the aromatic frameworks of various antitumor agents, for instance, anthracyclins and related substances. Such applications are currently under intense investigation, and results in this area will be described in due course.

Acknowledgement. We are grateful to the National Institutes of Health (CA-55268), the National Science Foundation (CHE 91-16820), and the Robert A. Welch Foundation (C-1007) for support of our research program.

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4. Cf., e.g., Foland, L. D.; Karlsson, J. O.; Perri, S. T.; Schwabe, R.; Xu, S. L.; Patil, S.; Moore, H. W. *J. Am. Chem. Soc.* **1989**, *111*, 975; Liebeskind, L. S. *Tetrahedron* **1989**, *45*, 3053.
5. This reaction would proceed by *syn* carbopalladation of the acetylene and trapping of the intermediate vinyl-Pd by the enolate: Ciufolini, M. A.; Qi, H.-B.; Browne, M. E., *J. Org. Chem.* **1988**, *53*, 4149.
6. Cf. (a) Fournet, G.; Balme, G.; Van Hemelryck, B.; Goré, J. *Tetrahedron Lett.* **1990**, *31*, 5147; (b) Bouyssi, D.; Goré, J.; Balme, G. *Tetrahedron Lett.* **1992**, *33*, 2811.
7. Deaton, M. V.; Ciufolini, M. A. *Tetrahedron Lett.* **1993**, *34*, 2409.
8. The Linstrumelle modification (Guillerm, D.; Linstrumelle, G. *Tetrahedron Lett.* **1985**, *26*, 3811) of the Sonogashira protocol (Sonogashira, K.; Tohda, Y.; Hagihara, N. *Tetrahedron Lett.* **1975**, *16*, 4467) for the Castro-Stephens reaction (Stephens, R. D.; Castro, C. E. *J. Org. Chem.* **1963**, *28*, 3313) was particularly effective in the present context.
9. The Castro-Stephens reaction failed with the ketoester obtained by oxidation of **1**.
10. Spectral data for compounds **3** (¹H NMR, ¹³C NMR, IR, MS, HRMS). a: 12.69 (s, 1H); 8.38 (br. d, 1H, J = 8.4 Hz); 7.66 (br. d, J = 8.1 Hz); 7.57 (br. t, 1H, app. J = 6.8 Hz); 7.45 (br. t, 1H, app. J = 8.0 Hz); 7.11 (s, 1H); 4.01 (s, 3H); 3.00 (br. t, 2H, J = 7.5 Hz); 1.59 (m, 2H); 1.35 (m, 6H); 0.92 (br. t, 3H, J = 6.9 Hz). 172.9; 162.5; 139.7; 136.0; 129.5; 126.5; 124.9; 124.0; 123.6; 120.2; 105.6; 52.1; 37.1; 32.0; 31.8; 29.6; 22.6; 14.1. 3300-2800 (w, br); 3057; 1651; 1338; 1255. 286 (M⁺); 254; 226; 216; 215; 212, 184 (100 %); 183. Calc. for C₁₈H₂₂O₃: 286.1569; obs.: 286.1568. b: 12.14 (s, 1H); 8.43 (d, 1H, J=8.5 Hz); 7.74 (d, 1H, J = 8.3 Hz); 7.63 (br. t, 1H, app. J = 7.6 Hz); 7.54 (br. t, 1H, app. J = 7.7); 7.43-7.29 (m, 5H); 7.21 (s, 1H); 3.57 (s, 3H). 172.2; 161.2; 143.3; 139.2; 135.6; 129.8; 128.3; 127.5; 127.4; 126.5; 125.8; 123.9; 121.4; 105.8; 51.7. 3300-2800 (w, br); 3050; 1656; 1324; 1264. 278 (M⁺); 246; 218; 189 (100%); 163. Calc. for C₁₈H₁₄O₃: 278.0943; obs.: 278.0943. c: 12.41 (s, 1H); 8.19 (d, 1H, J = 8.9 Hz); 7.54 (d, 1H, J = 7.9 Hz); 7.41 (br. t, 1H, app. J = 7.7 Hz); 7.30 (br. t, 1H, app. J = 7.6 Hz); 7.06 (s, 1H); 4.61 (s, 2H); 3.82 (s, 3H); 3.30 (s, 3H). 172.5; 162.5; 135.9; 134.6; 129.8; 127.3; 125.7; 124.4; 124.0; 118.0; 104.3; 74.3; 58.6; 52.3. 3300-2800 (w, br); 1652; 1345; 1260. 246(M⁺); 214; 199 (100%); 185; 171. Calc. for C₁₄H₁₄O₄: 246.0892; obs.: 246.0897. d: 12.71 (s, 1H); 8.37 (br. d, 1H, J = 8.4 Hz); 7.65 (br. d, J = 7.8 Hz); 7.58 (br. t, 1H, app. J₁ = 7.8 Hz); 7.46 (br. t, 1H, app. J₁ = 8.8 Hz); 7.02 (br. s, 1H); 4.65 (br. m); 2H; 4.02 (s, 3 H); 2.96 (m, 2H); 2.00 (s, 3H); 2.0-1.2 (m, 9H). 172.8; 170.5; 162.9; 136.8; 135.7; 129.7; 126.6; 125.2; 124.1; 123.9; 121.5; 105.5; 73.2; 52.3; 44.5; 38.7; 38.1; 32.0; 31.8; 23.8; 21.4. 3300-2800 (w, br, OH); 3057; 1736; 1649; 1257. 356 (M⁺); 324; 296; 216; 212; 183; 141 (100 %). Calc. for C₂₁H₂₄O₅: 356.1624; obs.: 356.1617.
11. Computational work was carried out with the HYPERCHEM[®] package, available from Autodesk, Inc, Sausalito, CA, and running on a Windows[®]-based 486 PC system.

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